

**INFORMATION REGARDING THE PROCESSING OF PERSONAL DATA  
INTENDED FOR CONTRACTUAL PARTNERS, INCLUDING PERSONS PROVIDING  
SERVICES TO FORUM TFI S.A. OR INVESTMENT FUNDS MANAGED BY FORUM TFI S.A.**

Performing the obligations provided for in the Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (General Data Protection Regulation) (hereinafter "**GDPR**"), we hereby provide the following information regarding the processing of personal data.

The below information is intended for contractual partners, including persons providing their products or services to FORUM Towarzystwo Funduszy Inwestycyjnych S.A. with registered office in Krakow and investment funds managed by FORUM Towarzystwo Funduszy Inwestycyjnych S.A., as well as employees, associates and representatives of this persons.

**1. Data processing Controller**

The Controller of your personal data is the entity, with whom you have entered into an agreement: FORUM Towarzystwo Funduszy Inwestycyjnych Spółka Akcyjna with registered office in Krakow (hereinafter: "**FORUM TFI**") or investment funds managed by FORUM TFI (hereinafter: the "**Funds**").

The Controller's address and contact data: Zabłocie street 25/20, 30-701 Krakow, tel.: +48 12 429 55 93, +48 12 429 90 09, e-mail: [info@forumtfi.pl](mailto:info@forumtfi.pl), website: [www.forumtfi.pl](http://www.forumtfi.pl).

**2. The purposes of the processing of personal data and the legal basis of the processing**

Your personal data is processed for the following purposes:

- 1) performance of an agreement, which you are a part to, pursuant to article 6 paragraph 1 item b of GDPR,
- 2) compliance with legal obligations of the personal data Controller, pursuant to article 6 paragraph 1 item c of GDPR, resulting from, in particular: act of 27th of May 2004 on investment funds and management of alternative investment funds, act of 29th of September 1994 on accounting, as well as act of 29th August 1997 tax ordinance – in the scope of documentation storage,
- 3) resulting from the realization of Controller's or a third party's legitimate interest, pursuant to article 6 paragraph 1 item f of GDPR, which should be



Zabłocie 25/20  
30-701 Kraków



[info@forumtfi.pl](mailto:info@forumtfi.pl)  
[www.forumtfi.pl](http://www.forumtfi.pl)



+48 12 429 55 93  
+48 12 429 90 09

FORUM Towarzystwo Funduszy Inwestycyjnych S.A. z siedzibą w Krakowie przy ul. Zabłocie 25/20, zarejestrowane w Sądzie Rejonowym dla Krakowa - Śródmieście, Wydział XI Gospodarczy KRS pod numerem 0000264440, NIP 677 22 78 460, REGON 120297174, kapitał zakładowy 1.000.000,00 PLN, kapitał wpłacony 1.000.000,00 PLN.

construed as, in particular: performance of an agreement, including maintaining of business contacts in connection with the agreement, as well as internal business analyzes, ensuring security of IT environment, application of internal control systems and pursuing and defense of the Controller's rights against any claims, as well as during court and out-of-court proceedings .

### **3. Categories of processed data**

The Controller processes your personal data consisting in particular of the following categories: identification data, contact data, job and employer data, transactional data, as well as other types of data made available to the Controller for the purposes of business contacts and relations.

### **4. Recipients of the personal data**

Your personal data may be shared with the following categories of recipients:

Funds' depositaries, entities providing consulting services, audit services, accounting services, IT services document archiving and destruction services, as well as statutory auditors in connection with audit, other entities, which have been entrusted by the Controller with the performance of certain activities connected with the Controller's business activity, as well as entities and bodies to which the Controller is required to disclose data on the basis of generally applicable provisions of the law.

### **5. Period of data storage**

Your personal data shall be stored for a period necessary to execute the agreement and after the expiration of that period, your data shall be stored for the period necessary to comply with the Controller's legal obligations and for the period necessary to assert and defend the Controller's rights against claims, as well as in legal proceedings, arising from the general limitation periods.

### **6. Rights of the data subject**

You can request from the Controller to:

- access the contents of the data, pursuant to article 15 of GDPR,
- rectify the data, pursuant to article 16 of GDPR,
- have the data removed, pursuant to article 17 of GDPR,
- limit the processing of the data, pursuant to article 18 of GDPR.

You also have a right to:

- object to the processing of the data, pursuant to article 21 of GDPR and



Zabłocie 25/20  
30-701 Kraków



info@forumtfi.pl  
www.forumtfi.pl



+48 12 429 55 93  
+48 12 429 90 09

FORUM Towarzystwo Funduszy Inwestycyjnych S.A. z siedzibą w Krakowie przy ul. Zabłocie 25/20, zarejestrowane w Sądzie Rejonowym dla Krakowa - Śródmieście, Wydział XI Gospodarczy KRS pod numerem 0000264440, NIP 677 22 78 460, REGON 120297174, kapitał zakładowy 1.000.000,00 PLN, kapitał wpłacony 1.000.000,00 PLN.

- have the data transferred, pursuant to article 20 of GDPR.

Furthermore, you have a right to lodge a complaint with a supervisory authority, i.e. the President of the Personal Data Protection Office.

**7. Provision of your personal data is voluntary, however it is necessary for the realization of a given agreement and failure to do so prevents conclusion and realization of that agreement.**

**8. Source of the personal data (in the case of acquiring them not from the data subject)**

If you have not provided your personal data directly to the Controller, your personal data was transferred to the Controller by the entity, on behalf of which you act, which provided your personal data to the Controller, as necessary to maintain business contacts and/or relations with that entity.



Zabłocie 25/20  
30-701 Kraków



info@forumtfi.pl  
www.forumtfi.pl



+48 12 429 55 93  
+48 12 429 90 09

FORUM Towarzystwo Funduszy Inwestycyjnych S.A. z siedzibą w Krakowie przy ul. Zabłocie 25/20, zarejestrowane w Sądzie Rejonowym dla Krakowa - Śródmieście, Wydział XI Gospodarczy KRS pod numerem 0000264440, NIP 677 22 78 460, REGON 120297174, kapitał zakładowy 1.000.000,00 PLN, kapitał wpłacony 1.000.000,00 PLN.