

INFORMATION REGARDING THE PROCESSING OF PERSONAL DATA OF ULTIMATE BENEFICIAL OWNERS

Performing the obligations provided for in the Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (General Data Protection Regulation) (hereinafter "**GDPR**"), we hereby provide the following information regarding the processing of personal data.

1. Data processing Controller

The Controller of your personal data is a closed-ended investment fund (hereinafter the "**Fund**"), managed by FORUM Towarzystwo Funduszy Inwestycyjnych Spółka Akcyjna with registered office in Krakow (hereinafter: "**FORUM TFI**"), of which you are the ultimate beneficial owner (within the meaning of the act of 1st of March 2018 on combating money laundering and financing of terrorism, hereinafter the "**Act on AML**") (hereinafter the "**Controller**").

The Controller's address and contact data: Wadowicka Street 3C, 30-347 Krakow, tel.: +48 12 429 55 93, +48 12 429 90 09, e-mail: info@forumtfi.pl, website: www.forumtfi.pl.

2. The purposes and the legal basis for the processing of personal data

The purpose for the processing of your personal data is compliance with the Controller's legal obligations, arising from relevant provision of the law, in particular regarding data archiving (article 6 paragraph 1 item c of GDPR) and/or for the purpose of establishing or maintaining a business relationship with obligated institutions within the meaning of the Act on AML (hereinafter the "**Obligated Institutions**") (article 6 paragraph 1 item f of GDPR).

3. The legal basis for the processing of personal data (legitimate interest)

The legal basis for the processing of your personal data is its' necessity to realize the Controller's legitimate interest – article 6 paragraph 1 item f of GDPR. The Controller's legitimate interest should be construed as establishing or maintaining cooperation (including in particular concluding agreements) with Obligated Institutions, legally bound, in accordance with the provisions of the Act on AML, to identify their clients' ultimate beneficial owners. Your personal data may also be processed in order to comply with the Controller's legal obligations – article 6 paragraph 1 item c of GDPR.

4. Categories of processed data



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FORUM Towarzystwo Funduszy Inwestycyjnych S.A. z siedzibą w Krakowie przy ul. Wadowickiej 3c, zarejestrowane w Sądzie Rejonowym dla Krakowa - Śródmieście, Wydział XI Gospodarczy KRS pod numerem 0000264440, NIP 677 22 78 460, REGON 120297174, kapitał zakładowy 1.000.000,00 PLN, kapitał wpłacony 1.000.000,00 PLN.

The Controller processes in particular the following categories of your personal data: identification data (including your first and last name, nationality, personal identification number and date and place of birth), contact and address data.

5. Source of the personal data

Your personal data has been obtained directly from the Fund's participant or from any publicly available databases, e.g. Central Registration and Information on Business (Centralna Ewidencja i Informacja o Działalności Gospodarczej), National Court Register (Krajowy Rejestr Sądowy) and/or Central Register of Beneficial Owners (Centralny Rejestr Beneficjentów Rzeczywistych).

6. Recipients of the personal data

Your personal data may be shared with the following categories of recipients:

Obligated Institutions, entities and bodies to which FORUM TFI or the Funds are required to disclose data on the basis of generally applicable laws, as well as entities providing services to the Controller, on the basis of personal data processing agreements.

7. Period of data storage

Your personal data will be stored until the expiration of the Controller's or Obligated Institution's legal obligation to retain the data.

8. Rights of the data subject

You have a right to request access, rectification, removal and limitation of the processing of your personal data. You also have a right to lodge a complaint with a supervisory authority, i.e. the President of the Personal Data Protection Office.



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